

Using the Critical Reading of Empirical Studies Tool

Purpose

The tool is intended to be used when critically reading empirical research - studies that involve collecting and analysing research data.

Background

The tool is founded on an assumption that published research studies:

- (a) make new knowledge claims (they claim something new has been found out); and
- (b) provide arguments for those knowledge claims - to persuade readers that the claims are justified.

That is, although a research report may seem to be a descriptive narrative of what was done, and why, and what was found out; the rationale of the research paper is that it draws some conclusions which, it is argued, *follow from* the enquiry reported in the paper.

(Read about [‘The research paper as an argument’](#))

This process can be summarised in a (convoluted) sentence: *the conclusions depend upon the interpretation of the outcomes of the analysis of data that has been collected in accordance with a research design that was planned to answer certain research questions which were seen as motivated by a conceptualisation of the literature reviewed.*

This can be usefully broken down. It can be seen as *a logical chain*, such as:

If

you accept the authors’ conceptualisation of the current state of knowledge in the field;

and if

you agree that the study’s research question(s) is/are suggested by that understanding;

and if

you agree that the methodology chosen is appropriate for a study designed to answer the research question(s);

and if

you agree that the design of the research (i.e., the sampling of participants or data sources; the data collection instruments; the means of analysing the data, etc.) allows the research question(s) to be answered;

and if

you believe that the design was implemented as planned;

and if

you believe that the analysis is sound;

and if

you believe the researchers have interpreted their findings appropriately;

then

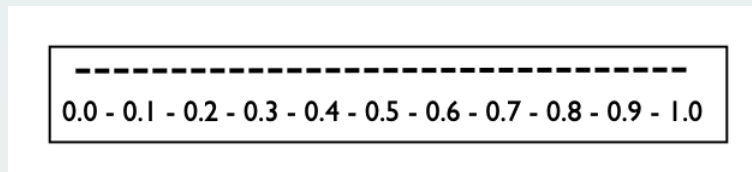
you have good reasons to accept their conclusions.

The tool is intended to act as **a visual device to help keep some of these features in mind when reading a study**, and to help the scholar ‘keep tabs’ on their judgments about aspects of the paper as they read it. In particular, it asks the user to offer *impressionist elevations* of how convincing some key features of the research report are.

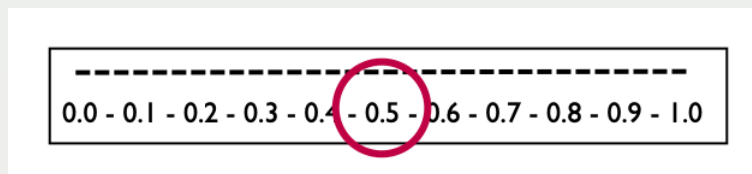
This is meant purely as a visual aid, and it is **not intended that any of the ratings should be seen as objective measures: BUT** if you give a study low ratings, then this suggests you do not have strong grounds for simply accepting the authors' conclusions.

What is the tool?

The original version of the tool comprised a series of 11-point scales aligned with some key aspects of research reports,

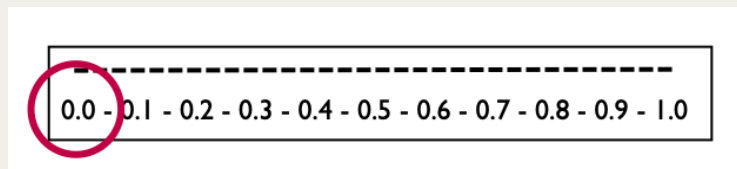


where the user is asked to evaluate *their confidence* in that aspect of the work reported.

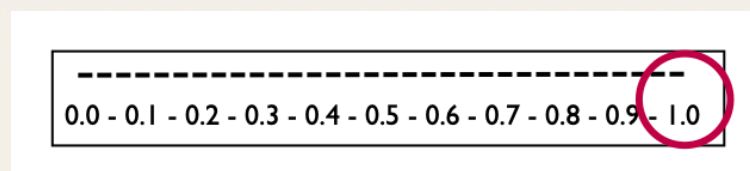


That is, the scale is 0.0, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0.

This can be considered as a series of 10% increments (0%, 10%, 20%...)

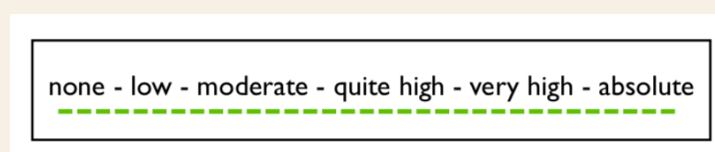


So 0.0 (0%) confidence would mean you have no confidence in that aspect of the study,



and 1.0 (100%) would mean that you have absolute confidence in that aspect of the study.

An alternative version of CREST is available with a verbal scale rather than a numerical scale:



How to use the tool

The tool is provided to support effective study/reading of research. The instructions are meant as guidance - please feel free to adapt and modify according to what best supports your style, level of expertise and needs.

As you read through a study, note which sections of the report relate to the key features highlighted on the tool. **For each section, consider how convincing you found the research paper, and indicate this by circling one of the points on the scale.** Do NOT worry about being precise, as this is meant to be **purely impressionistic**. If you do not feel that one of the features listed on the tool is relevant to the study you are reading, or you feel you have no basis for evaluating the strength of that feature, **just leave that specific scale blank.**

Confidence in research claims Keith S. Taber © 2020

Elements of a study on which conclusions are considered to depend

Your rating of the study

Confidence in the overall study design	0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0
Confidence in the sampling	0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0
Confidence in the research instrument(s)	0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0
Confidence in the analysis	0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0
Confidence in the interpretation of results	0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0
<small>Overall evaluation of the claims for new knowledge</small>	
Overall level of confidence in the study's conclusions	0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0

Notes (optional):

You may use this box to record bibliographic information or your own research notes

Features to evaluate:

1. Confidence in the overall research design: *to what degree do the authors convince you that the study they have designed is suitable for answering their research questions?* You might wish to consider whether the researchers are using suitable methodology to address the research questions, as well as whether the different aspects of the design (data collection methods, instruments, analytical techniques) work together and fit the overall methodology.

(Read about '[Methodology](#)')

(Read about '[Research design](#)')

2. Confidence in the sampling (in behavioural/social science subjects). A research report should make it clear to what population the study is intended to relate to (e.g., first year undergraduates at Oxbridge colleges; students starting secondary school in Chiapas, Mexico). In many studies, it is not possible to collect data from an *entire* population of interest (e.g., all modern language teachers working in the U.K.; all four year olds attending pre-school in Western Australia; all children attending hagwons after the school day finishes

in Seoul) and therefore a sample of the population is selected to ‘represent’ the full population. *To what extent are you confident that the population of interest has been sufficiently well-sampled?*

(Read about ‘[Sampling a population](#)’)

3. Confidence in the data collections tools (tests, interview schedules, questionnaire items, observation schedules, laboratory instruments, etc.) *To what degree are you confident that the data collection instruments do their job: are they are valid (measure what they are intended to measure), reliable (give reproducible results), accurate/precise?*

(Read about ‘[Validity](#)’)

(Read about ‘[Reliability](#)’)

4. Confidence in the analysis: *To what degree are you confident that the data collected have been appropriately analysed to provide results?*

(Read about ‘[Analysis](#)’)

5. Confidence in the interpretation of results: *To what degree are you confident that the researchers’ conclusions (e.g., answers to research questions) actually follow from their findings (results)?*

6. When you have finished reading the paper, reflect on how convincing you find the paper overall.

Confidence in the study overall: **To what degree are you confident that the new ‘knowledge claims’ made by the authors of the paper are justified in terms of the quality of the study overall?**

All research is subject to limitations and caveats/provisos, so you should not expect to be totally confident in all aspects of the studies you read.

(Read about ‘[Caveats and provisos in research reports](#)’)

Reports may give inadequate information for you to feel you can evaluate some aspects of the work. You should also bear in mind that sometimes reports (inadvertently, or - even occasionally - deliberately) contain inaccurate details of studies carried out.

In reporting on your evaluations (in assignments, dissertations/theses or publications) you will need to explain your reasons for your evaluations - so you may want to make notes on the features that lead you to rate a study as you do.

Versions of the tool are also available with prompt questions, and space for related notes included on the form:

Notes on the study	Confidence in research claims
<p>Research questions</p> <p>What are the research questions (hypotheses, aims)?</p> <p>Are the research questions well-motivated by the author’s conceptual framework (reading of the existing relevant literature)?</p> <p>Is a specific theoretical perspective adopted for the study? (If so, what perspective? Does it seem a sensible choice?)</p> <p>Are the research questions well-motivated by the author’s conceptual framework? Are the research questions well-formed?</p> <p>Research design</p> <p>What methodology was adopted? Is it suitable for answering the research questions?</p> <p>What data collection techniques and instruments were selected? Were these appropriate (valid, reliable, ...)?</p> <p>What analytical techniques and instruments were selected? Were these appropriate?</p> <p>Were techniques applied correctly (in far as can be determined)?</p> <p>Sample</p> <p>What is the population addressed in the research?</p> <p>Describe the sample? How large is the sample? How was the sample selected? Is the sample representative of the population?</p> <p>Interpretations</p> <p>Do the conclusions fully reflect the results obtained from the analysis? (If there are recommendations for policy/practice/ further research, do these follow from the findings of the study?)</p> <p>Are conclusions / recommendations limited to certain populations to which the study would seem to apply?</p>	<p>Elements of a study on which conclusions are considered to depend</p> <p>Your rating of the study</p> <p>Confidence in the overall study design: 0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0</p> <p>Confidence in the sampling: 0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0</p> <p>Confidence in the research instrument(s): 0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0</p> <p>Confidence in the analysis: 0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0</p> <p>Confidence in the interpretation of results: 0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0</p> <p>Overall evaluation of the claims for new knowledge</p> <p>Overall level of confidence in the study’s conclusions</p> <p>0.0 - 0.1 - 0.2 - 0.3 - 0.4 - 0.5 - 0.6 - 0.7 - 0.8 - 0.9 - 1.0</p> <p>Other notes (optional):</p> <p>You may use this box to record bibliographic information or your own research notes.</p>

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