

Disseminating research through high impact journals: *some challenges and strategies*

Keith S. Taber

Professor of Science Education, University of Cambridge

Adjunct Professor, School of Education, UTM

<https://science-education-research.com>

8th September 2020

Disseminating research through high impact journals: some challenges and strategies

Presentation prepared for

Universiti Teknologi Malaysia

<https://www.facebook.com/humanities.utm/videos/2720799898200428>

Keith S. Taber © September 2020

(except free images accessed at Pixabay)

<https://science-education-research.com>

This document includes **active links** to more detailed information
(click somewhere inside the box indicated by the symbol
- internet connection needed)



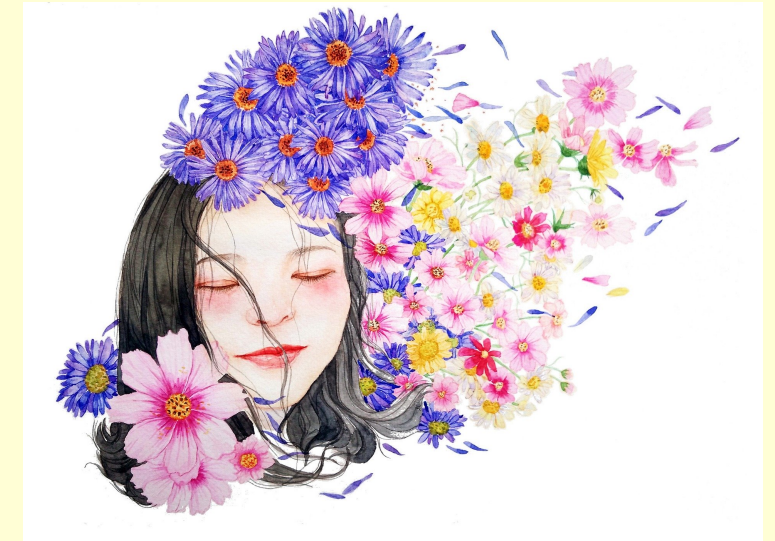
Publish...



or perish!

Today's theme: How can individual scholars and groups maximise the chances of getting their work published *without compromising...?*

without compromising?



- You should not need to pay extortionate **fees** to predatory journals (which will often anyway not be listed among those recognised for institutional review)
- You should not need to compromise your principles - there is an ethical imperative for **honesty** in academic matters
- You should not need to give up your **dreams** - a scholar should have academic freedom to follow their interests (up to a point!)

An assumption...

(that we will all agree to)

- Whilst the scholar should seek to maximise their chances of publication in prestigious journals...
- ...this is always done with absolute regard for high **academic standards**
- **ethical dealings** with potential and actual research participants
- fair and respectful behaviour within **research collaborations**
- every effort to offer **honest and full reporting**

Academic standards

Academic standards and scholarly / scientific values

One of the areas my work has touched upon is research (and publishing) ethics and scholarly norms.



Research ethics

A topic in [research methodology](#)

"...the subject matter of ethics is the justification of human actions, especially as those actions affect others."
Schwandt, 2001: 73

All research has to be informed by a strong sense of ethics. This is especially important in a field like education which has values at its core.

"As researchers (as well as teachers) we wish to act morally, and to be seen as doing so. We generally think of research as a good thing, as it allows us to develop new knowledge, and as educators we tend to see knowledge as having higher value than ignorance. However, research has costs and consequences."

— Taber, 2013: 223

Ethical issues need to be considered during research design, whilst carrying out the research, and whilst writing up any report (such as a thesis).

Guidelines:



Key message:

Success in publication is only *partially* about the writing

Successful publication depends upon:

- good quality (often *English*) writing
- matching work to **outlet** (choice of journal for a particular study)
- **execution** of study (data collection, data analysis)
- **design** of study (methodology, choice of techniques, sampling...)
- **conceptualisation** of study (e.g., choice and justification of a research question)
 - *so perhaps* location of a study in a research programme

How do (quality) research journals usually work? (initial submission stage)

- **Initial screening** - a decision whether to reject or send for peer review (may be made by an editor or an administrator)
- **Peer review** - evaluation by two or more scholars in the field of the journal selected (usually) by an editor
- **Editorial decision**, e.g. - reject; reject by invite resubmission; send for major or minor revisions; (accept)

Submitting to a research journal

A topic in [research methodology](#)

Research journals are very diverse, so it is only possible to offer general guidance, but the information here is widely applicable.

This page discusses the general process of submitting to a *research journal (academic journal)* (which may be different from the process for a practitioner or professional journal – see below *), and how the journal usually handles the submission. It is assumed that you have already carefully chosen the journal to submit the work to, and have prepared the submission according to the needs of that journal. ↗

On submission

Most academic journals now have an on-line submission system. At the end of the submission process you will often be asked to download the file with the manuscript you have uploaded (sometimes along with other files) to confirm that it meets the journal requirements and is ready for submission. Once you have submitted you will normally get an email (virtually instantaneously, often) confirming submission. Usually the submission will be given a code (e.g., JOR-2020-00015C) that you should use if you later contact the journal.

Editorial screening

Usually new submissions are screened, either by an editor or an administrator. The screening may relate to three areas:

Readiness of submission

There may be checks on things like – are the figures missing/tables (where cited) included, is the paper 'blinded' for review (where that is a required), have required declarations been made (about research ethics, or funding sources, etc)?

If journals have specific requirements about such matters as forms of headings (not to use 'Introduction'; to have a section called 'Results') or subsections in an abstract and so forth, this may be checked. Some journals are very fussy about the precise wording and placement of particular information in a manuscript.

Papers with missing or non-compliant aspects may be returned for correction!

Within journal scope

Most journals accept work in a discipline or field. Some journals are very broad but most high quality journals are about 'education' or 'science education' or 'chemistry education' or some other specified domain.

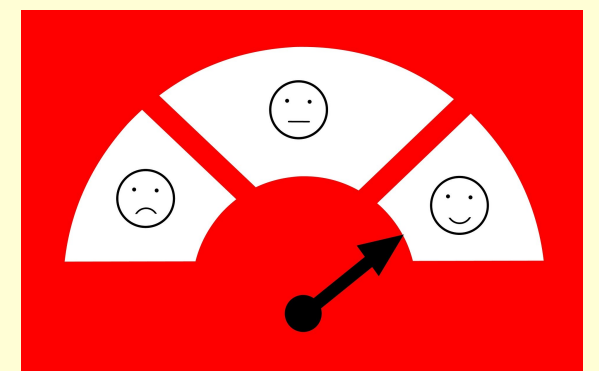
Some may only invite certain types of work – only experimental studies; only case studies; only work undertaken from a sociological perspective; only work from a feminist standpoint; or whatever.

Rejection on quality grounds

Work that is judged *not* to be within the scope of that journal will be rejected at this stage. (This is disappointing, but saves time waiting for peer review.) Rejection on scope grounds at screening stage can usually be avoided by reading the available journal information and exploring some issues. However, that is not fool-proof as I know from experience!

Time-scale for screening

One should expect any rejection on screening, or request for changes to a submission prior to peer review to be received within a matter of days. If the manuscript passes screening it moves to peer review.



3 core journal criteria

remit - journal's field of interest

usually tested at **editorial screening**

quality - only high quality work will be published

often subject to **editorial screening**, then *peer review*

novelty - only 'original' work will be published

evaluated in *peer review*

Peer review

A topic in [research methodology](#)

Peer review is the process by which articles (or book chapters, book manuscripts, book or journal proposals, funding applications, etc.) are scrutinised by experts in the field who comment on their strengths and weaknesses, recommend whether they should be published (or funded etc) and/or what changes might be required.

Usually peer review is (single) blind (the authors/proposers are not told who reviewed their work) or double blind (neither authors/proposer nor reviewers know the identify of the other).

Journal editors make decisions on accepted or rejecting article based on referee reports. Often referees recommend changes that are needed before an article can be published. Often revised/resubmitted



Selecting a journal

- Scope
 - breadth vs. specialism
 - (word limits?)
- Publication model
 - access vs. cost; hard copy; speed
- **Journal quality**
- (Contingency?)

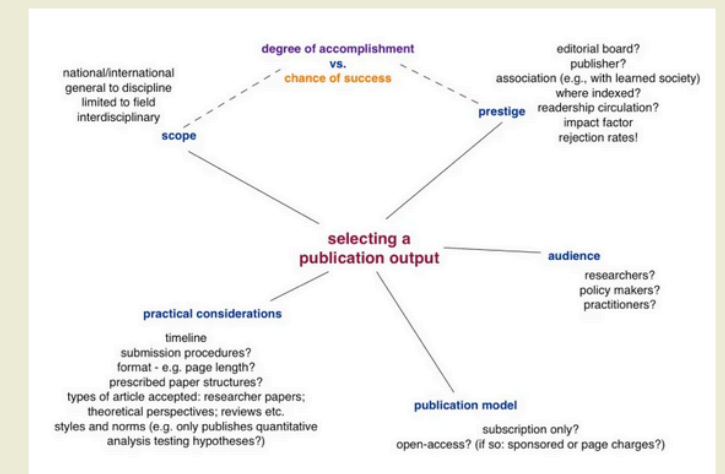
'do not put all your eggs in one basket'



Selecting a research journal

Selecting an outlet for your research articles

A topic in [research methodology](#)



Some factors to consider when selecting where to send your research

Publishing research is very important for an academic. (See: [Publishing research](#).) There is also an ethical imperative to publish research that has been supported by public funds, or has relied on voluntary participation of members of the public as long as the research is robust. (See: [Research ethics](#).)

Some factors to consider:

The figure above represents some of the considerations to be made when selecting a journal.

In particular, it is important to consider:

Journal scope

A journal may have a broad scope ('social sciences', 'education') or may be narrower ('science education', 'chemistry education', 'science teacher education', etc.) Some journals may have a bias towards, or tend to publish certain types of work or work undertaken from particular stances (e.g., experimental research, work related to social equity issues, work in the post-Freudian or Marxist tradition or whatever.)

Most research journals publish research reports – but they differ in whether they publish reviews of literature, theoretical articles, book reviews, etc.

Journals do not publish work out of scope, so do research available information on websites, and survey the kind of work published in the journal before targeting it.

Publication model

More people are likely to read your work if it is freely available, rather than behind a paywall. Some



Advance planning

- **authorship** issues should have been discussed *earlier* in the project
- identify intended outputs **before** writing
- consider journal **instructions** before setting out to write

Authorship

A topic in [research methodology](#)

In everyday life, authorship is usually a fairly straightforward matter: the author is the person, or the authors are the people, who wrote something. However, that is not how authorship is usually understood in research:

Authorship in research

In research it is normal for results to be reported in research journals. Sometimes the research reported has been carried out by one person, who wrote the article. However many research studies are team efforts, and in these cases it may be that not all the team were directly involved in the writing up.

Most journals see authorship as belonging to those people who made substantial intellectual contributions to the study being reported. That might include (depending upon the form of research) such involvement as contributions to conceptualisation and planning of a study, developing and carrying out analyses, even if by people who did not write-up the final study.

Ethics of authorship

Journals normally require that the authorship of a submission should include all those who have made significant contributions to the intellectual work (i.e. somewhat more than just technical help like data



Preparing a manuscript for submission

Preparing a manuscript for submission to a research journal.

A topic in [research methodology](#)

This page discusses preparing a submission, once a decision has been made about which journal to target. (Read about [selecting a research journal](#).)

Instructions for authors

Most journals have specific instructions for preparing a manuscript for that journal, which may include information on:

- length of abstract
- word length limit for article
- format of citations and references
- placement and labelling of figures and tables
- required or preferred headings, and levels of headings allowed (headings, subheadings, subsubheadings...) and how to designate (font size, bold, italics, capitalisation...)
- key words – are they required, how many...
- blinding of manuscripts
- specific formatting issues (required typeface or font size, indenting of new paragraphs, except for those that start sections, single/1.5/double spacing between lines, etc.)
- ...

Each journal has its own set of expectations and requirements, and it makes sense to familiarise oneself with these at the outset. Some journals have idiosyncratic requirements...

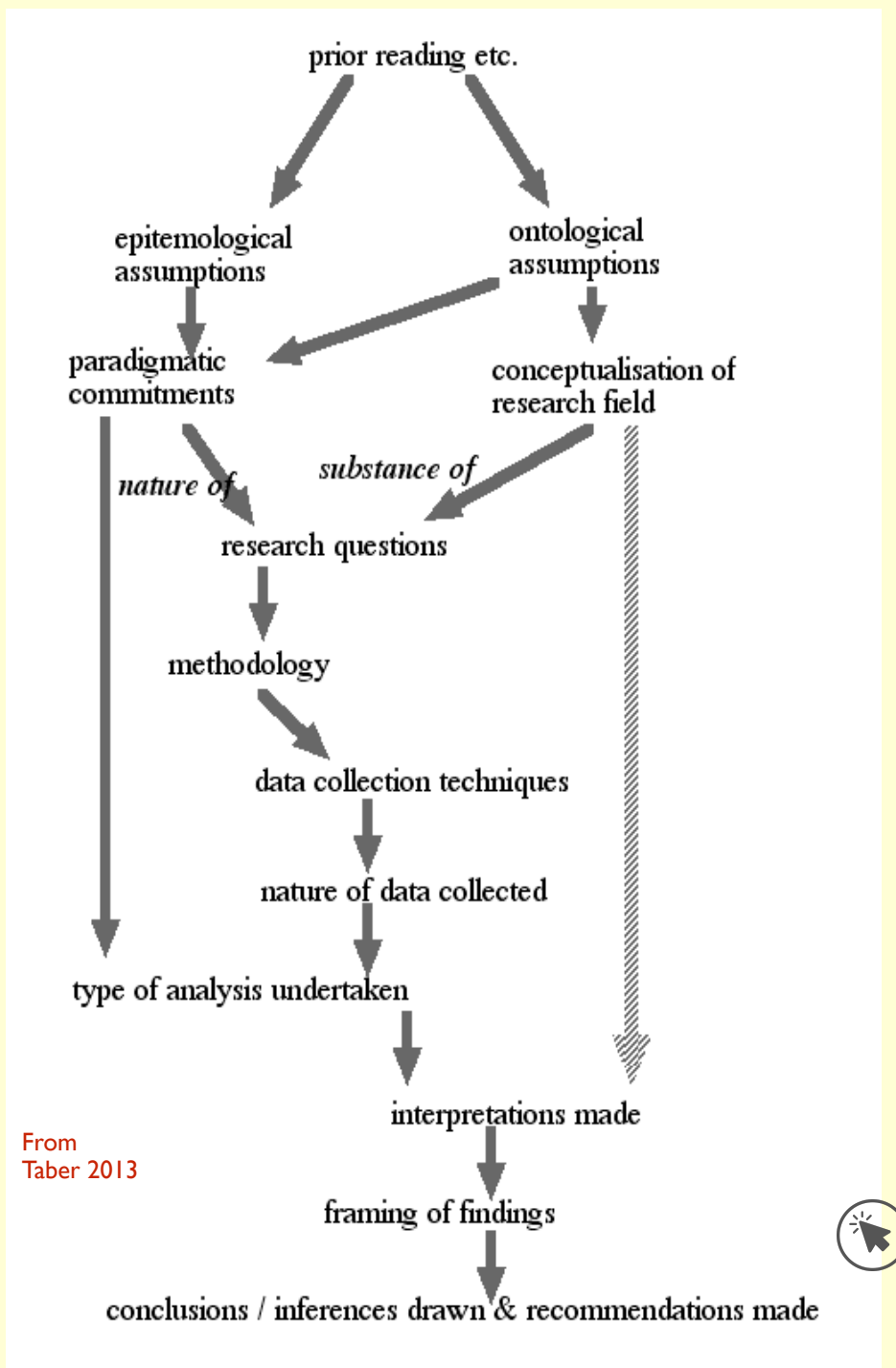


Quality of the work?

Research coherence

A well-planned study *can* be well or poorly reported...

...but a poorly planned study will **not** lead to a good research report

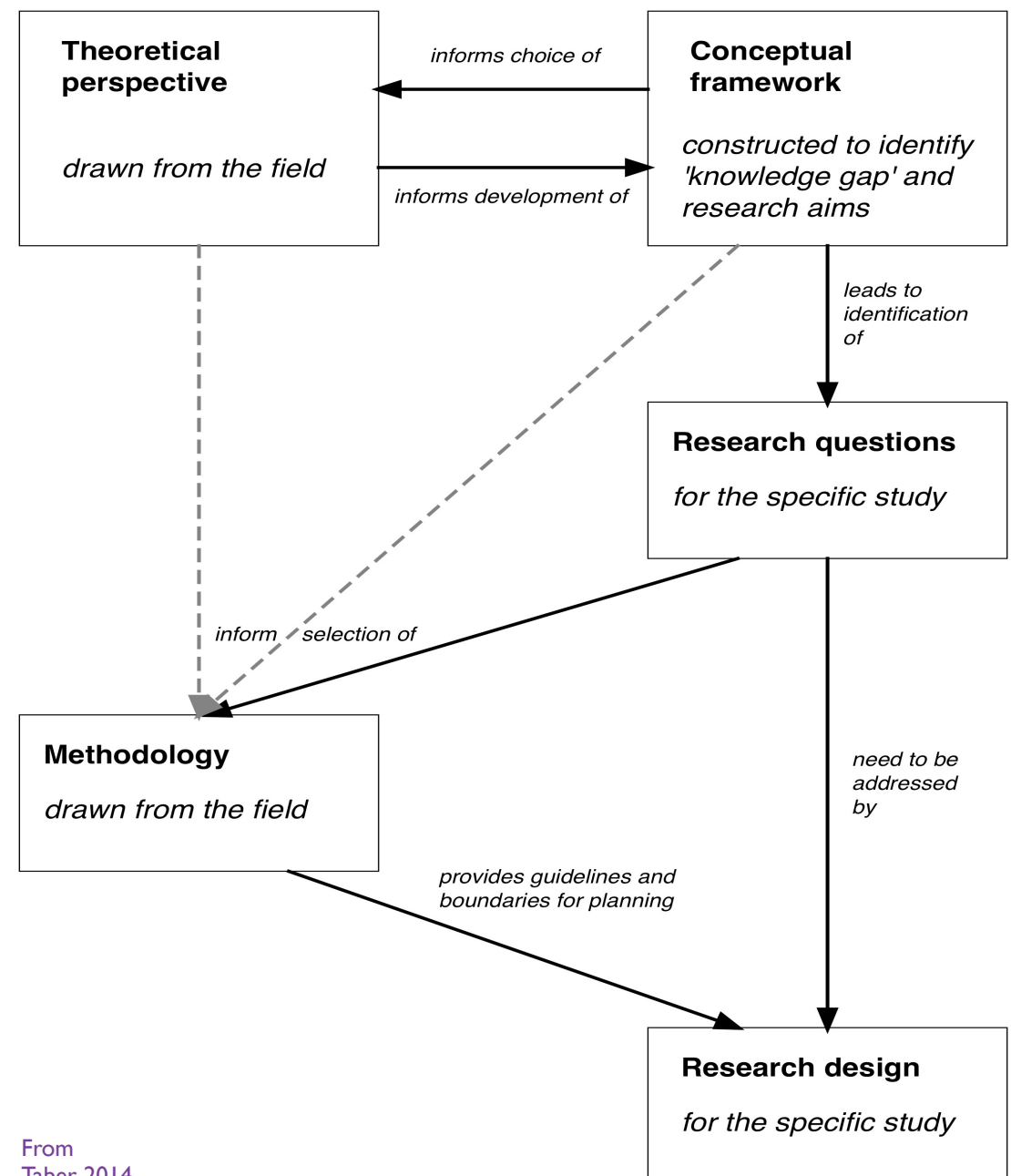


Quality of the work?

Conceptualisation

A well-conceptualised study can be well or poorly executed...

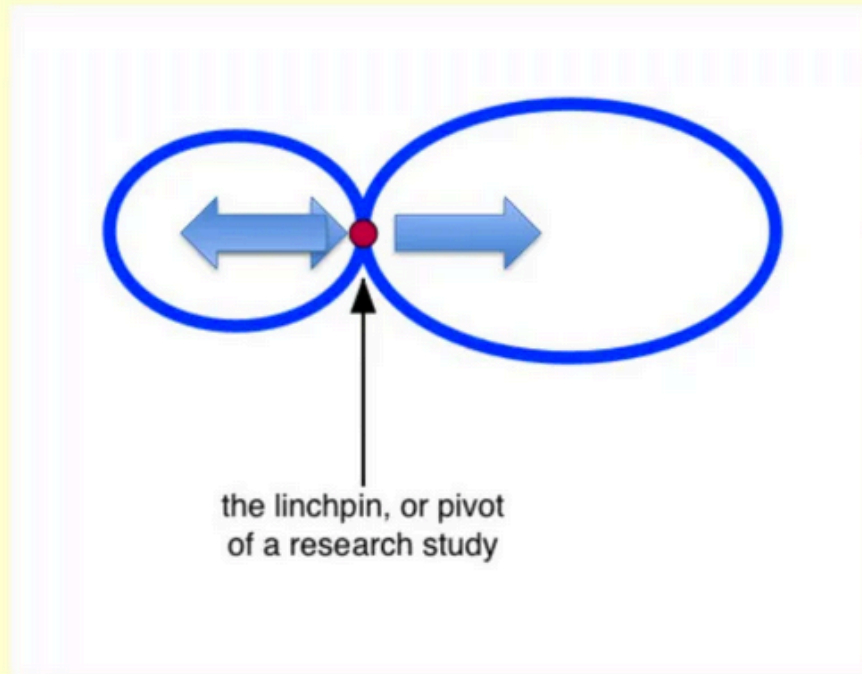
...but a poorly conceptualised study is **unlikely** to provide useful outcomes



From
Taber, 2014

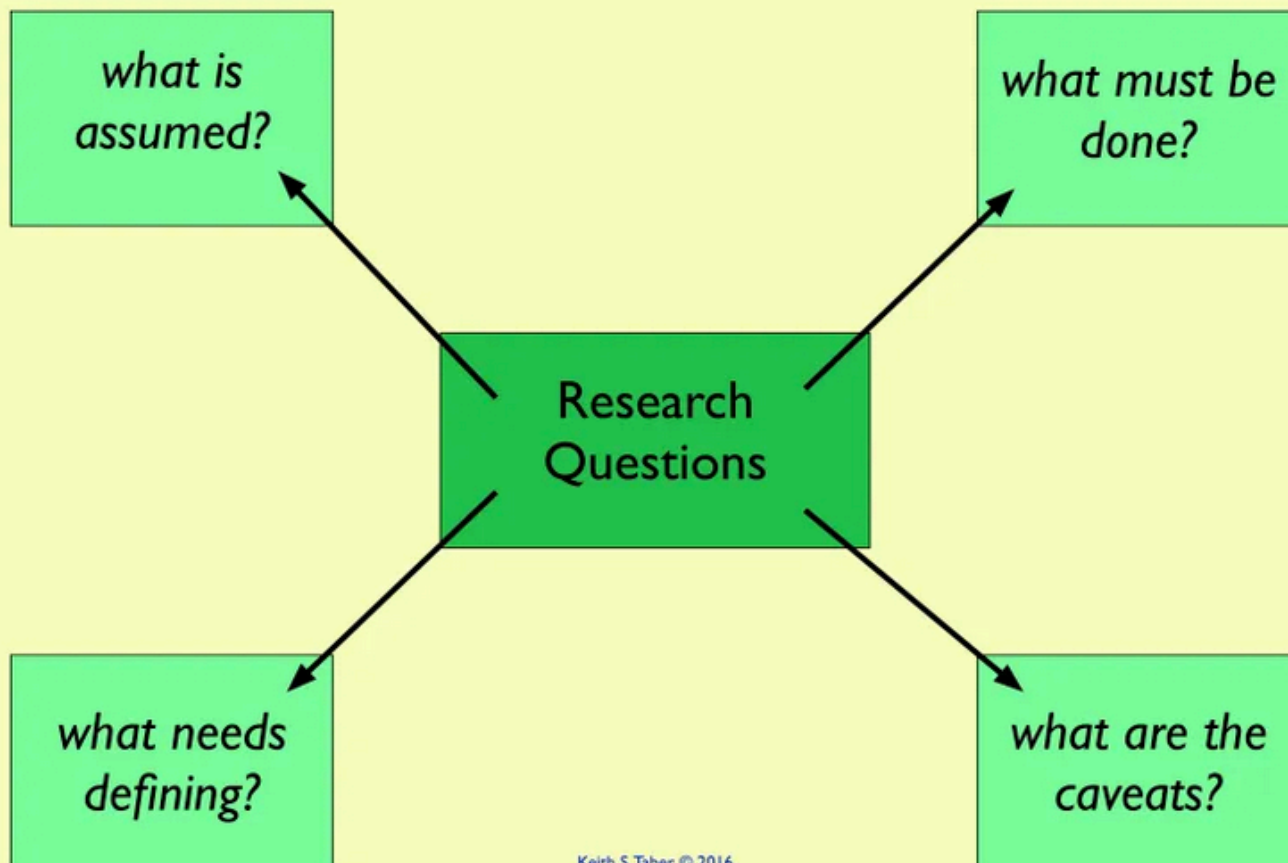


The RQ should both *reflect* and *project*



Quality: role of research questions

This simple framework may be useful for analysing research questions (RQ) in studies.



Research questions

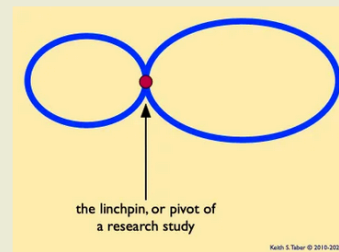
A topic in [research methodology](#)

"...research questions turn a general purpose or aim into specific questions to which specific, data-driven, concrete, answers can be given."

— Cohen et al., 2011, p.111

Research questions(RQ) play a pivotal role in a study, as they provide the key connections between

- the [conceptual framework](#) of the project (as developed out in the literature review)
- the research design (which sets out what is to be done and so what can possibly be found out)



Research questions are critical in a research study

RQ:

- – follow from the literature review
- – need to be focused such that they inform research design
- – have to support the planning of a viable project
- – that can be addressed by a feasible and ethical research design
- – that matches the available resources



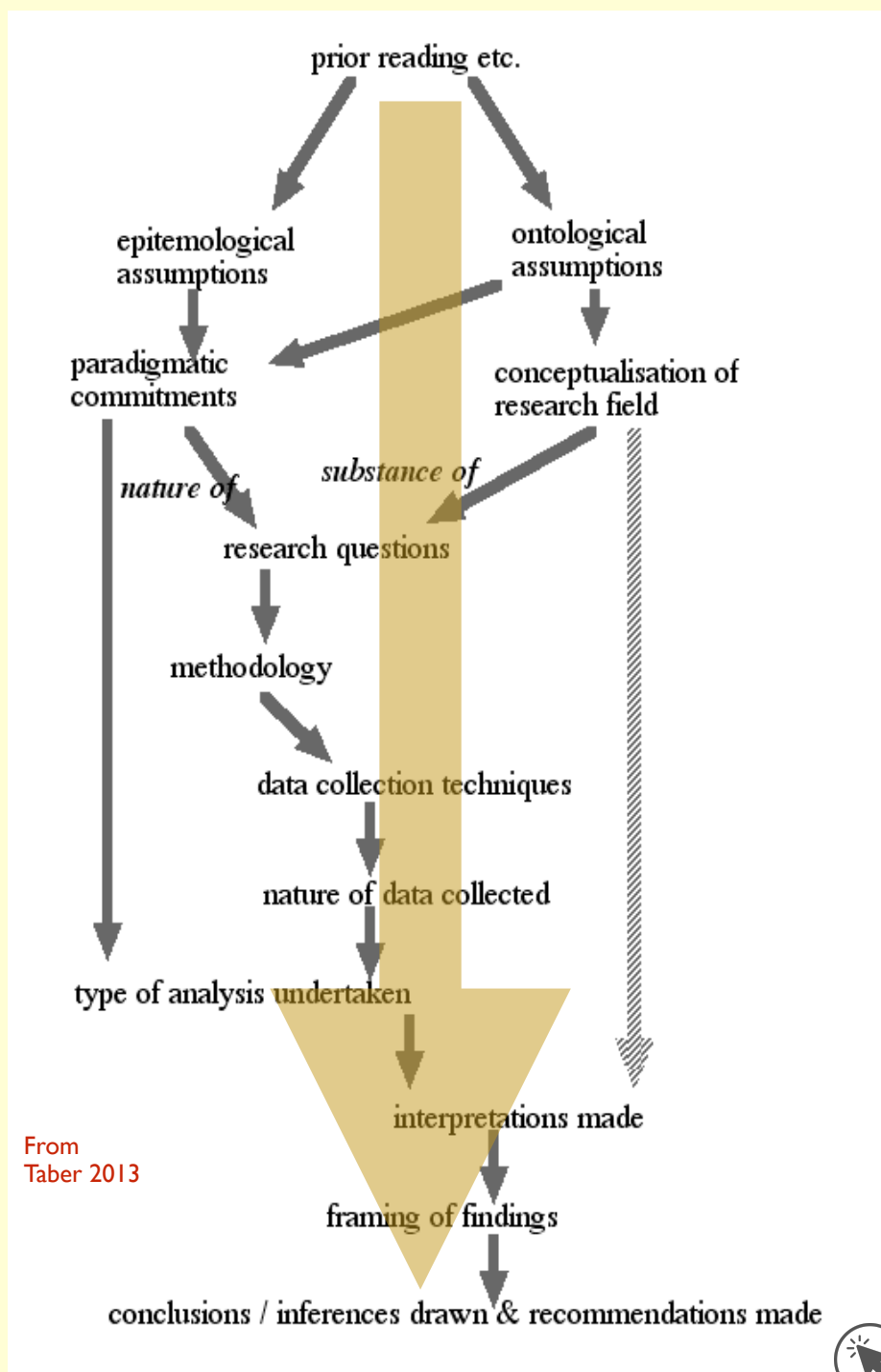
Quality of the work?

the 'language game'

the genre of a research report is not a personal account

but **an argument supporting a specific knowledge claim**

(Honest, but **focused**)



From
Taber 2013

The research paper as argument

The research paper as an argument for a knowledge claim

A topic in [research methodology](#)

Academic research seeks to offer new knowledge. A research report can be seen as comprising

- a knowledge claim (or several knowledge claims)
- the grounds for the claim(s)

The knowledge claim is the conclusion of the study.

e.g.,

- all normally-developing humans pass through the same invariant stages of [cognitive development](#);
- 85% of children conceive of force and motion in terms of an 'imputus' model before formal science teaching;
- many school science textbooks present a misleading model of ionic bond formation;
- direction instructions is more effective than discovery learning

The sections of the research report preceding the conclusion can be considered as building up a multi-stage argument for why the knowledge claim is justified and should be accepted.

Is the scientific paper a fraud?

This was a question posed by the immunologist and Nobel laureate, Peter Medawer.



literary analogy

pedagogic analogy

narrative
a story the reader can follow

communicate
make the unfamiliar familiar

research writing -
proposing a
knowledge claim

argument
use evidence to make the case

legal analogy

2020 © Keith S. Taber



Three analogies for the nature of writing research reports



Classroom-based
Research **Second Edition**
and
Evidence-based
Practice
An Introduction
Keith S. Taber



Novelty?

potential **impact** on the field - going beyond existing studies

this may mean extending the range of application into a new context (a different age group, a different curriculum area, a different culture...)

linking features of the novel context to the findings

not simply saying, e.g.,

“it has never been studied before with 10 year olds studying fractions in SMK Taman Selesa Jaya 2 on a rainy Wednesday afternoon in June...”

theoretical?

methodological?

new findings?

Rhetorical research

A topic in [research methodology](#)

bold

The philosopher of science Karl Popper considered making 'bold' conjectures (hypotheses) a virtue – rather than playing safe by looking for expected results.

The purpose of research is to generate new knowledge. So we find we see studies that have been designed (deliberately or otherwise) such that they are not bold, but so cautious that it seems their outcomes were never really in doubt: they employ meek conjectures.

'Rhetorical research', then, is research which is set up – either deliberately or inadvertently – in such a way that they seem designed to produce particular results. They have weak research designs better suited for supporting the researchers' expectations than for answering well-motivated [research questions](#).

bold conjectures preferred!

Replication studies

A topic in [research methodology](#)

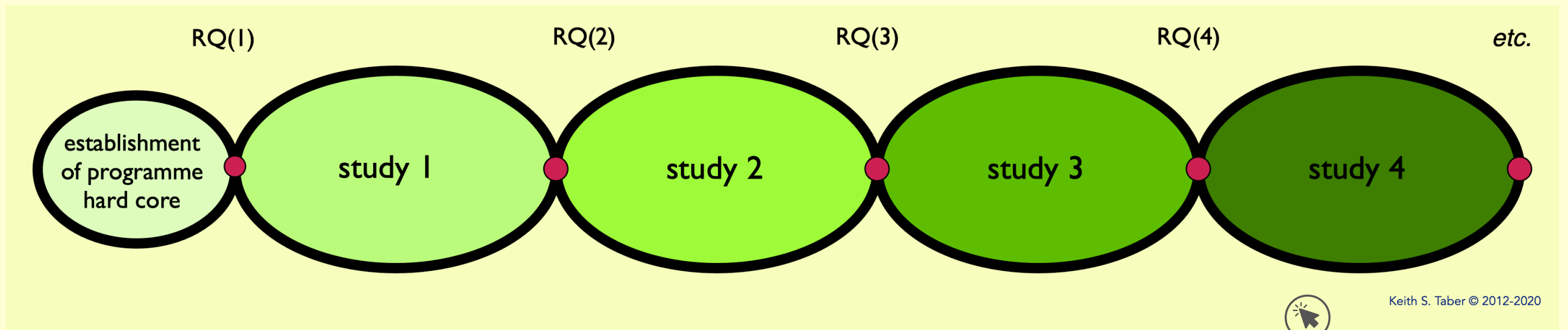
In the natural sciences, there is supposed to be a tradition of [replication](#), with results only being accepted once reproduced by other researchers.

Actually, there is some scholarly debate about the extent to which replication actually occurs, even in natural science ([Taber, 2019](#)).

Is replication even meaningful in social sciences such as education?

There are clearly major challenges in trying to 'replicate' educational studies in new settings. (See, for example, [Is reproducibility a realistic norm for scientific research into teaching?](#))

Research programmes



Working within a research programme allows

- developing knowledge of a field/topic
- developing expertise in a methodology/specialised techniques
- therefore increasing research effectiveness as building on previous experience
- developing a reputation (invitations to talk/write/review; applications from research students, ...)

English!

imprecise or unclear language

- **obscures** meaning
- prevents accurate **evaluation** of quality work
- often gives an impression of *muddled* or illogical thinking
- leads to **rejection** in editorial screening and/or after peer review

Getting the language polished is worth time and effort (and, if needed*, expense?)

* but using professional services may be avoided...

Pre-submission review

Strategies for improving language and quality of reports

- **institutional level** - identify expert English speakers/writers, build up a pool offering internal evaluation (but this must be recognised in workloads)*; provide research mentorship for less experienced researchers
- **research group** - policies for (critical) reviewing all writing within the group
- **individual scholar** - pairing with a 'buddy' as a critical friend - a reciprocal arrangement

Responding to decisions

What is the decision?

- **minor revisions/major revisions/resubmission?**
appreciate the differences: inferences? circumstances?

How important is getting the work in *THIS* journal?

How feasible are the changes requested?

How much work is involved in the changes recommended?

Would any of the changes

make you uncomfortable?

diminish the work?

Minor revisions

A decision of minor revision (or equivalent) implies that the editor is expecting the authors to be able to readily, and quite quickly, make the required changes, and expects to publish the work in due course. It is unusual, therefore, that authors would withdraw a paper with this decision.

Major revision

A decision of major revision (or equivalent) implies that the editor is not entirely sure if the authors will be able to respond satisfactorily to referee comments or not, but expect to publish the paper if they can; and/or that the revisions requested are quite substantial and may require some further work (not more data collection, but possibly some additional analysis, perhaps some changes of format of presentation of results) beyond relatively straightforward changes.

Resubmission

A decision of resubmission/reject by invite resubmission means that a good delay more work is needed before the study can be published, and the editor is either not convinced it will necessarily be possible, or believes any such major reworking is likely to take some time. It may mean that referees suspect the underlying study is sound but that the report needs a complete reworking.



Responding to an editorial decision

Responding to an editorial decision on a manuscript submission to a research journal

A topic in [research methodology](#)

After submitting your work to a journal (Read about [submitting to a research journal](#)), you will (in time) be sent a decision on whether it is considered suitable for publication, usually with a report of the reviewers' comments.

On receiving an editor's decision on a submission, the author(s) may have to decide how to respond.



Editors and reviewers are supported in evaluating revisions by:

- **tracking** (use tracking even when not requested)
- the **response** document
 - explicitly address *every point* in referee reports/ decision matters
 - any rejection of a recommendation needs to be *carefully argued*
 - say exactly *how* you have responded to each point (and, if not obvious, *why* you have responded that way) and *where* in the MS
 - consider using *a table* with each new point to be addressed starting a new row - both as a working document, and when tidied as a basis for the response

Revisions

Do all recommended changes have to be made?

Editors are usually reasonable people who are open to logical and evidence argument. Sometimes it is acceptable to disagree with some things referees ask for, as long as an explanation is given, then the editor will have to make a decision. Also referee comments may be at different levels: things that need to be done; things it would be good to do; things that might be useful. So decision letters need to be read carefully to distinguish required changes and recommended changes – and sometimes just suggestions.

Preparing a revision/resubmission

Journals differ, and usually precise instructions are included with the editor's decision letter. As a general process:

Keep a record of changes made.

The recommended approach is to cut and paste a copy of the decision letter into a table so each comment that needs to be addressed is on a separate line, and the changes made or other response can be recorded in another column. This is useful for keeping track on progress in the revision, and responding to the editor.

Editors normally expect a letter with the revision outlining responses to each substantive point made by the editor and reviewers. Presenting this as a table also helps the editor see exactly what you have done, as well as showing you are systematic.

The revised manuscript should be made from a copy of the original manuscript file (keeping the original to refer to in case needed). Tracking on the file for revision will help you keep track of the changes made and review them. The first thing to do is head the copy with 'revision' or similar and save it with a file name so there will be no confusion over which file is being worked on. Journals often ask for a tracked revised manuscript so the editor and reviewers can easily see what has been changed but it usually a good idea to track for your own benefit anyway. Make a copy of the final tracked revision, and accept the changes on the copy so you now have the original manuscript, the revision showing changes made and a 'clean' copy of the final manuscript. Sometimes journals require both the clean and tracked version of the revision.



In conclusion

Treat *writing and submitting* as part of the overall research process - kept in mind at all stages

Flaws in writing can be ironed out: but flaws in the work being reported cannot be corrected when reporting (so planning the study is critical for a strong research report!)

Investigate target journals carefully prior to writing - and write with a journal in mind (but preferably with back-up options)

Take time to *review*, seek advice (developing reciprocal arrangements and support networks), *refine...* before submission

Respond to journal requests for revisions in a systematic and measured manner

Thank you

<https://science-education-research.com>

kst24@cam.ac.uk